

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|--|----------------------|------------------------|-----------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 10/633,876 | |
| | Filing Date | 08/04/02 | |
| | First Named Inventor | HALE, Ron L. | |
| | Art Unit | Unknown | |
| | Examiner Name | Unknown | |
| Total Number of Pages in This Submission | 5 | Attorney Docket Number | 00060.01R |

| ENCLOSURES (check all that apply) | | |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | Remarks | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | Request to Correct Filing Receipt (1 pp.) Corrected Copy of Filing Receipt (4 pp.) Return Postcard | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--------------------------------|
| Firm or Individual name | Elaine C. Stracker J.D., Ph.D. |
| Signature | |
| Date | November 17, 2003 |

| CERTIFICATE OF TRANSMISSION/MAILING | | | |
|---|------------|------|-------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: | | | |
| Typed or printed name | Lissa Oros | | |
| Signature | | Date | November 17, 2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT
Docket 00060.01R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: HALE, et al.

Serial No.: 10/633,876

Filing Date: 08/04/02

Date: November 17, 2003

For: RAPID-HEATING DELIVERY ARTICLE AND METHOD OF USE

Request for Correction of Filing Receipt

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS PAPER AND THE DOCUMENTS
REFERRED AS BEING ATTACHED OR ENCLOSED HERewith ARE BEING
DEPOSITED WITH THE UNITED STATES POSTAL OFFICE ON
November 17, 2003. AS FIRST CLASS MAIL IN AN ENVELOPE
ADDRESSED TO : COMMISSIONER FOR PATENTS, PO Box 1450,
Alexandria, VA 22313-1450


Lissa Oros

Dear Sir:

Applicants request that the Patent and Trademark Office issue a corrected filing receipt for the above-identified Non-provisional patent application. The priority data and Attorney Docket Number are incorrectly listed in the filing receipt (as shown on the attached copy). Applicants respectfully request that a new Filing Receipt be issued that shows the correct priority data and correct Attorney Docket Number.

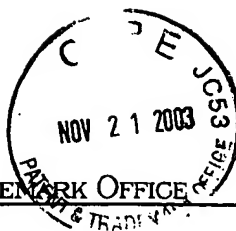
Respectfully Submitted,



Elaine C. Stracker. Ph.D., J.D.
Reg. No. 43,166
Vice President of Intellectual Property
Alexza Molecular Delivery Corporation
1001 East Meadow Circle
Palo Alto, CA 94303
TEL: (650) 687-3905
FAX: (650) 687-3999



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|---|----------|----------|----------|
| 10/633,876 | 08/04/2003 | 3761 | 0.00 | 40145-8005.US00 00060.OIR | 18 | 28 | 3 |

CONFIRMATION NO. 5253

Alexza Molecular Delivery Corporation
1001 East Meadow Circle
Palo Alto, CA 94303

FILING RECEIPT



OC000000011156510

Date Mailed: 11/03/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ron L. Hale, Woodside, CA;
Peter M. Lloyd, Walnut Creek, CA;
Amy Lu, Los Altos, CA;
Daniel J. Myers, Mountain View, CA;
Reynaldo J. Quintana, Redwood City, CA;
Joshua D. Rabinowitz, Mountain View, CA;
Dennis W. Solas, San Francisco, CA;
Soonho Song, Palo Alto, CA;
Curtis Tom, San Mateo, CA;
Martin J. Wensley, San Francisco, CA;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/057,197 10/26/2001
which claims benefit of 60/296,225 06/05/2001

This application 10/633,876
is a CIP of 10/057,198 10/26/2001
which claims benefit of 60/296,225 06/05/2001

This application 10/633,876
is a CIP of 10/146,080 05/13/2002
which is a CIP of 10/057,198 10/26/2001

This application 10/633,876
is a CIP of 10/146,086 05/13/2002
and is a CIP of 10/146,088 05/13/2002
which is a CIP of 10/057,198 10/26/2001

This application 10/633,876
is a CIP of 10/146,515 05/13/2002
which is a CIP of 10/057,198 10/26/2001
which claims benefit of 60/296,225 06/05/2001

and is a CIP of 10/097,197 10/26/2001
which claims benefit of 60/296,225 06/05/2001

which claims benefit of 60/296,225 06/05/2001
is a CIP of 10/057,197 10/26/2001
which claims benefit of 60/296,225 06/05/2001

which claims benefit of 60/296,225 06/05/2001
and is a CIP of 10/057,197 10/26/2001
which claims benefit of 60/296,225 06/05/2001

This application
10/633,876

This application 10/633,876
 is a CIP of 10/146,516 05/13/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/150,056 05/15/2002
 which claims benefit of 60/345,882 11/09/2001
 This application 10/633,876
 is a CIP of 10/150,267 05/15/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/150,268 05/15/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/150,591 05/17/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/150,857 05/17/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/151,596 05/16/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/151,626 05/16/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/152,639 05/20/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/152,640 05/20/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/152,652 05/20/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/153,139 05/20/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/153,311 05/21/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/153,313 05/21/2002 *
 (*)Data provided by applicant is not consistent with PTO records.
 and said 10/153,313
 claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 and claims benefit of 60/345,145 11/09/2001
 This application 10/633,876
 is a CIP of 10/153,831 05/21/2002

which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/153,839 05/21/2002
 which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/154,594 05/23/2002
 which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/154,765 05/23/2002
 which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/155,097 05/23/2002
 which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/155,373 05/22/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
and claims benefit of 60/345,876 11/09/2001
 This application 10/633,876
 is a CIP of 10/155,621 05/22/2002
 which claims benefit of 60/294,203 05/24/2001
 and claims benefit of 60/317,479 09/05/2001
 and claims benefit of 60/332,280 11/21/2001
and claims benefit of 60/336,218 10/30/2001
 This application 10/633,876
 is a CIP of 10/155,703 05/22/2002
 which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/155,705 05/22/2002
 which claims benefit of 60/294,203 05/24/2001
and claims benefit of 60/317,479 09/05/2001
 This application 10/633,876
 is a CIP of 10/280,315 10/25/2002
 which claims benefit of 60/335,049 10/30/2001
and claims benefit of 60/371,457 04/09/2002
 This application 10/633,876
 is a CIP of 10/302,010 11/21/2002
which claims benefit of 60/332,279 11/21/2001
 This application 10/633,876
 is a CIP of 10/302,614 11/21/2002
which claims benefit of 60/332,165 11/21/2001
 This application 10/633,876
 is a CIP of 10/322,227 12/17/2002
 which claims benefit of 60/342,066 12/18/2001 ABN
 and claims benefit of 60/412,068 09/18/2002

Foreign Applications

If Required, Foreign Filing License Granted: 10/31/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts



Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Rapid-heating drug delivery article and method of use

Preliminary Class

128

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).